



OFFICE OF CONSUMER AFFAIRS  
One South Station • Boston, MA 02110

DIVISION OF INSURANCE - Julianne M. Bowler, Commissioner  
• (617) 521 - 7794 • Fax (617) 521 - 7770

## APPLICATION FOR REGISTRATION OF INDIVIDUAL VIATICAL SETTLEMENT OR VIATICAL LOAN REPRESENTATIVE

**INSTRUCTIONS** -- In order for us to process your application you must:

- Answer every question accurately and completely. Incomplete applications will be returned.
- If a question is answered YES on the application, please submit details.
- Sign and date the application.
- Return this application with a check for \$75.00 payable to the Division of Insurance. *(Check must be drawn on the viatical settlement or loan provider or the viatical settlement or loan broker account.)* **Note: Fees are Non-Refundable.**
- An authorized representative of the viatical settlement or loan provider or the viatical settlement or loan broker must sign off on the application per MGL Chapter 175, section 214.
- This registration shall be renewable annually on January 1 of each year unless sooner revoked or suspended.

**Non-Resident Corporate Brokers must also provide:**

- Provide a certificate of good standing, not more than 90 days old, from your home state.
- A written designation of an agent for service of process or written irrevocable consent that any action against the applicant may be commenced against the applicant by service of process on the Commissioner.
- Answer every question accurately and completely. Incomplete applications will be returned.

**If you have any questions or need assistance, please contact Licensing at (617) 521-7794.**

The application form with your check should be mailed to:

Division of Insurance  
**Broker / Corporate Licensing Section**  
One South Station  
Boston, Massachusetts 02110 - 2208

*Any false statement in this application is punishable as perjury under Ch. 268 Mass. General Laws and may result in the revocation of your license(s)*

### **Please Print or Type**

The undersigned being a constituted designee of a viatical settlement or loan provider or viatical settlement or loan broker authorized to conduct business in the Commonwealth intends to appoint the applicant to act as an authorized agent of the viatical settlement or loan provider or viatical settlement or loan broker listed below.

1. Name of Applicant: \_\_\_\_\_  
Last First Middle Jr./Sr.
2. Social Security #: \_\_\_\_\_
3. Date of Birth: \_\_\_\_ / \_\_\_\_ / \_\_\_\_
4. Home Address: \_\_\_\_\_  
Street City State Zip
5. Tel # ( ) \_\_\_\_\_
6. Business Address: \_\_\_\_\_  
Street City State Zip
7. Tel # ( ) \_\_\_\_\_
8. Full Name of viatical settlement or loan provider or viatical settlement or loan broker \_\_\_\_\_
9. Viatical settlement or loan provider or viatical settlement or loan broker Address: \_\_\_\_\_  
Street City State Zip
10. Tel # ( ) \_\_\_\_\_
11. Has any insurance commissioner or department ever suspended, cancelled, or revoked any license issued to you as an agent, broker, or motor Vehicle damage appraiser, or ever refused to issue or renew any such license, or have you ever surrendered any such license or has any insurance company cancelled any contract of employment or an appointment of, or a license to you as its agent for any reason, or has any other public official or court ever suspended, cancelled or revoked any license or authority of any kind issued to you to pursue any trade, calling, or profession or refused to issue or renew any such license or authority or discharged or removed you from any public office or position?  
☐ Yes ☐ No (If YES, attach details)

12. Have you ever been convicted of, or arrested or prosecuted for, any crime or offense against the laws of this or any other state or country, or plead nolo contendere to any indictment or complaint for such crime or offense, or is there pending against you any indictment, complaint, or proceeding for a violation of such laws?  
[ ] Yes [ ] No (If YES, attach details)
13. Have you ever changed your name through marriage or a court of law?  
[ ] Yes [ ] No (If YES, attach details, court, date of change, maiden name)
14. Please describe your education or training or other qualifications regarding the field of viatical settlement or loan contracts and the laws of the Commonwealth of Massachusetts pertaining to viatical settlement or loan contracts (attach additional pages as needed).

15. I have read and I am familiar with the laws of Massachusetts respecting viatical settlement agreements and the duties and obligations of viatical settlement and loan representatives. I intend to act and hold myself out and carry on business in good faith as a viatical settlement or loan representative. I hereby verify the foregoing answers and statements and declare that they were made under the penalties of perjury. At any time, if any of the above information changes, I will notify your office.

Dated at \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ , \_\_\_\_\_ YEAR

\_\_\_\_\_, Applicant \_\_\_\_\_  
full signature print name

*Please Note: This application must be signed by the applicant personally.*

**The undersigned hereby states that an investigation has been completed as to the character and ability of the applicant named herein and is satisfied that the applicant is of good moral character, financially responsible, trustworthy, and is qualified to act as a viatical settlement or loan representative.**

\_\_\_\_\_  
SIGNATURE OF AUTHORIZED DESIGNEE OF VIATICAL SETTLEMENT OR  
LOAN PROVIDER OR VIATICAL SETTLEMENT OR LOAN BROKER

\_\_\_\_\_  
NAME

\_\_\_\_\_  
TITLE

**This application must be completed, signed, and include a check endorsed by the sponsoring viatical settlement or loan provider or viatical settlement or loan broker or the processing of your license will not be approved.**